

Obaly na zdravotnícke pomôcky sterilizované v konečnom obale. Návod na používanie ISO 11607-1 a ISO 11607-2 (ISO/TS 16775: 2014).

STN P CEN ISO/TS 16775

85 6544

Packaging for terminally sterilized medical devices - Guidance on the application of ISO 11607-1 and ISO 11607-2 (ISO 16775:2014)

Táto norma obsahuje anglickú verziu európskej normy. This standard includes the English version of the European Standard.

Táto norma bola oznámená vo Vestníku ÚNMS SR č. 09/14

Táto predbežná STN je určená na overenie. Pripomienky zasielajte do ÚNMS SR najneskôr do mája 2016.

Obsahuje: CEN ISO/TS 16775:2014, ISO/TS 16775:2014

#### 119394

## TECHNICAL SPECIFICATION

#### **CEN ISO/TS 16775**

# SPÉCIFICATION TECHNIQUE

#### TECHNISCHE SPEZIFIKATION

May 2014

ICS 11.080.30

#### **English Version**

# Packaging for terminally sterilized medical devices - Guidance on the application of ISO 11607-1 and ISO 11607-2 (ISO 16775:2014)

Emballages des dispositifs médicaux stérilisés au stade terminal - Lignes directrices relatives à l'application de l'ISO 11607-1 et l'ISO 11607-2 (ISO 16775:2014)

Verpackungen für in der Endanwendung sterilisierte Medizinprodukte - Leitfaden für die Anwendung von ISO 11607-1 und ISO 11607-2 (ISO 16775:2014)

This Technical Specification (CEN/TS) was approved by CEN on 18 February 2014 for provisional application.

The period of validity of this CEN/TS is limited initially to three years. After two years the members of CEN will be requested to submit their comments, particularly on the question whether the CEN/TS can be converted into a European Standard.

CEN members are required to announce the existence of this CEN/TS in the same way as for an EN and to make the CEN/TS available promptly at national level in an appropriate form. It is permissible to keep conflicting national standards in force (in parallel to the CEN/TS) until the final decision about the possible conversion of the CEN/TS into an EN is reached.

CEN members are the national standards bodies of Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and United Kingdom.



EUROPEAN COMMITTEE FOR STANDARDIZATION COMITÉ EUROPÉEN DE NORMALISATION EUROPÄISCHES KOMITEE FÜR NORMUNG

CEN-CENELEC Management Centre: Avenue Marnix 17, B-1000 Brussels

### CEN ISO/TS 16775:2014 (E)

Contents	Page
Foreword	3

#### **Foreword**

This document (CEN ISO/TS 16775:2014) has been prepared by Technical Committee ISO/TC 198 "Sterilization of health care products" in collaboration with Technical Committee CEN/TC 102 "Sterilizers for medical purposes" the secretariat of which is held by DIN.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN [and/or CENELEC] shall not be held responsible for identifying any or all such patent rights.

According to the CEN-CENELEC Internal Regulations, the national standards organizations of the following countries are bound to announce this Technical Specification: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.

#### **Endorsement notice**

The text of ISO/TS 16775:2014 has been approved by CEN as CEN ISO/TS 16775:2014 without any modification.

TECHNICAL SPECIFICATION

ISO/TS 16775

First edition 2014-05-15

# Packaging for terminally sterilized medical devices — Guidance on the application of ISO 11607-1 and ISO 11607-2

Emballages des dispositifs médicaux stérilisés au stade terminal — Lignes directrices relatives à l'application de l'ISO 11607-1 et l'ISO 11607-2



ISO/TS 16775:2014(E)



#### COPYRIGHT PROTECTED DOCUMENT

© ISO 2014

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized otherwise in any form or by any means, electronic or mechanical, including photocopying, or posting on the internet or an intranet, without prior written permission. Permission can be requested from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office
Case postale 56 • CH-1211 Geneva 20
Tel. + 41 22 749 01 11
Fax + 41 22 749 09 47
E-mail copyright@iso.org
Web www.iso.org

Published in Switzerland

Cont	tents		Page
Forew	ord		v
Introd	uction		vi
1	Scope		1
2	-	s and definitions	
3		nce for health care facilities	
3	3.1	Test methods	
	3.2	Guidance for conformance to ISO 11607-1	
	3.3	Guidance on conformance to ISO 11607-2, Validation requirements for forming,	_
		sealing and assembly processes	
	3.4	Quality system	19
4		nce for industry	
	4.1	General guidance	
	4.2	Design inputs	
	4.3 4.4	Selection and evaluation of materials	Z I
	4.4	system development)	22
	4.5	Packaging process feasibility evaluation	24
	4.6	Sterile barrier system design feasibility evaluation	25
	4.7	Validation of sterile barrier system manufacturing process	
	4.8	Packaging system design validation	28
	4.9	Revalidation	
Annex		ormative) Selection, evaluation and testing of packaging materials and sterile bar	
	-	ns — Guidance for industry and health care facilities	31
Annex	B (info	ormative) Sterilization considerations — Guidance for industry and health	39
Annex		ormative) Examples of wrapping methods — Guidance for health care facilities	
	-	ormative) Validation plan documents — Guidance for health care facilities	
	-	ormative) Installation qualification documentation — Guidance for health	
Annex		acilities	68
Annex		ormative) Operational qualification documentation — Guidance for health	
		acilities	73
Annex		ormative) <b>Performance qualification documentation — Guidance for health</b> acilities	77
Annex		ormative) Addressing worst-case requirements — Guidance for industry and hea	
Annex		rmative) <b>Generating a final packaging system validation protocol — Guidance</b> dustry	83
Annex	J (info	rmative) Design inputs — Medical device attributes — Guidance for industry	86
Annex	K (info	ormative) Risk analysis tools — Guidance for industry and health care facilities	91
Annex	L (info	ormative) Considerations for sampling plans — Guidance for health care facilities	s93
	-	ormative) Stability testing (ISO 11607-1:2006, 6.4) — Guidance for industry	
	•	ormative) Use of the Internet — Guidance for industry and health care facilities	
	-	ormative) <b>Test method validation — Guidance for industry</b>	
		ormative) Use of contract packagers — Guidance for industry and health	
		acilities	98

## ISO/TS 16775:2014(E)

Annex Q (informative) Guidance on establishing process parameters — Guidance for indus	stry99
Annex R (informative) Investigation failure — Guidance for industry and health care facilit	ies 105
Annex S (informative) Packaging manufacturing process and packaging system design feas	
evaluation — Guidance for industry	108
Bibliography	111

#### **Foreword**

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2. www.iso.org/directives

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received. www.iso.org/patents

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the WTO principles in the Technical Barriers to Trade (TBT) see the following URL: Foreword - Supplementary information

The committee responsible for this document is ISO/TC 198, *Sterilization of health care products*.

#### Introduction

Sterile barrier systems need to ensure the sterility of their contents until opened for use and ensure aseptic presentation.

The sterile barrier system, depending on conditions of handling, distribution or storage, may provide adequate protection for the sterile medical device. In circumstances where the packaged and sterilized device undergoes repeated handling, additional protective packaging may need to be combined with the sterile barrier system to create a packaging system.

Each establishment should evaluate the performance of each sterile barrier system or packaging system before selection and implementation to ensure conditions for sterilization, storage, and handling can be met. Each establishment that manages sterile items should have a documented plan of education on how to store, handle and transport sterile items.

Regional differences in quality management systems and other requirements exist and these might involve different approaches to human resource management. In any case however a sound education process is a key element and facilities should ensure that its personnel are aware of the relevance and importance of their packaging and sterilization activities for the safety of the patient.

ISO 11607-1 specifies the requirements for materials, sterile barrier systems, and packaging systems, including the qualification of the packaging system design and evaluation of that design, ISO 11607-2 specifies the requirements for packaging process validation. Both of these documents provide standards to ensure medical device protection, the ability to sterilize, maintenance of sterile package integrity and aseptic presentation. The scope of each of these standards applies to health care facilities and wherever medical devices are packaged and sterilized. It is recognized that the circumstances of the application of these standards will be different when they are used in a health care facility from when they are used by a medical device manufacturer or reprocessor.

The conditions of use of this guidance may vary widely around the world. ISO 11607-1 and ISO 11607-2 and this guidance document provide a guideline for use, subject to interpretation by circumstance and regulatory environments. In some regions of the world health care facility compliance to the series ISO 11607 is a national or regional regulatory requirement, in some regions the series ISO 11607 is considered guidance for health care facilities. For instance, it is recognized that in certain regions or regulatory applications conformance to ISO 11607-1 may be demonstrated but not conformance to ISO 11607-2, which requires process validation by the user. In other regions, where compliance to both ISO 11607-1 and ISO 11607-2 is a national regulatory requirement, this document will also provide guidance on performing validation. Clause 3 of this guidance document is applicable to health care facilities and Clause 4 is applicable to industry. Further guidance is given in Annexes A to S that may be applicable to health care facilities and/or industry, as indicated.

In Europe ISO 11607-1 assists the conformity assessment procedure for manufacturers and is designed and used as a tool for demonstrating compliance with the relevant essential requirements of the Medical Device Directive. Compliance with the standard is always voluntary.

At the time of publication of this document, Amendments to ISO 11607-1 and ISO 11607-2 are in the ballot process. This guidance document already considers the revised versions with the understanding that specific references to numbering may have changed. Annex B of ISO 11607-1 on test methods has been extensively revised and should be considered when available.

# Packaging for terminally sterilized medical devices — Guidance on the application of ISO 11607-1 and ISO 11607-2

#### 1 Scope

This Technical Specification provides guidance for the application of the requirements contained in ISO 11607-1 and ISO 11607-2. It does not add to, or otherwise change, the requirements of ISO 11607-1 and/or ISO 11607-2. This is an informative document, not normative. It does not include requirements to be used as basis of regulatory inspection or certification assessment activities.

The guidance can be used to better understand the requirements of ISO 11607-1 and/or ISO 11607-2 and illustrates some of the variety of methods and approaches available for meeting the requirements of those International Standards. It is not required that this document be used to demonstrate compliance with them.

Guidelines are given for evaluation, selection and use of packaging materials, preformed sterile barrier systems, sterile barrier systems and packaging systems. Guidance on validation requirements for forming, sealing and assembly processes is also given.

This Technical Specification provides information for health care facilities (see <u>Clause 3</u>) and for the medical devices industry (see <u>Clause 4</u>).

It does not provide guidance for applications of packaging materials and systems after their opening. In the use of packaging for other purposes such as a "sterile field" or transport of contaminated items, other regulatory standards will apply.

koniec náhľadu – text ďalej pokračuje v platenej verzii STN