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Electronic Signatures and Infrastructures (ESI); Trust Service Provider Conformity Assessment - Requirements for conformity assessment bodies assessing Trust Service Providers

Táto norma obsahuje anglickú verziu európskej normy.  
This standard includes the English version of the European Standard.

Táto norma bola oznámená vo Vestníku ÚNMS SR č. 05/16

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# ETSI EN 319 403 V2.2.2 (2015-08)



**Electronic Signatures and Infrastructures (ESI);  
Trust Service Provider Conformity Assessment -  
Requirements for conformity assessment bodies  
assessing Trust Service Providers**

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## Foreword

This European Standard (EN) has been produced by ETSI Technical Committee Electronic Signatures and Infrastructures (ESI).

National transposition dates	
Date of adoption of this EN:	21 August 2015
Date of latest announcement of this EN (doa):	30 November 2015
Date of latest publication of new National Standard or endorsement of this EN (dop/e):	31 May 2016
Date of withdrawal of any conflicting National Standard (dow):	30 June 2017

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## Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

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## Introduction

ISO/IEC 17065 [1] is an international standard which specifies general requirements for conformity assessment bodies (CABs) performing certification of products, processes, or services. These requirements are not focussed on any specific application domain where CABs work.

In the present document the general requirements are supplemented to provide additional dedicated requirements for CABs performing certification of Trust Service Providers (TSPs) and the trust services they provide towards defined criteria against which they claim conformance.

The present document is aiming to meet the general requirements of the international community to provide trust and confidence in electronic transactions including, amongst others, applicable requirements from Regulation (EU) No 910/2014 [i.1], and from CA Browser Forum [i.10].

The present document's aims include support of national accreditation bodies as specified in Regulation (EC) No. 765/2008 [i.4] in applying ISO/IEC 17065 [1] for the accreditation of CABs that certify TSPs and the trust services they provide so that this is carried out in a consistent manner. In accordance with [i.4], attestations issued by conformity assessment bodies accredited by a national accreditation body can be formally recognized across Europe.

The present document does not repeat requirements from ISO/IEC 17065 [1] but follows its document structure. Where needed, additional requirements are specified. This is mainly the case for requirements on resources (clause 6) and on the assessment process (clause 7). For all other chapters of ISO/IEC 17065 [1] few or no additional requirements are needed.

The present document also incorporates many requirements relating to the audit of a TSP's management system, as defined in ISO/IEC 17021 [i.12] and in ISO/IEC 27006 [i.11]. These requirements are incorporated by including text to derived from these documents in the present document, as well indirectly through references to requirements of ISO/IEC 17021 [i.12].

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# 1 Scope

The present document contains requirements for the competence, consistent operation and impartiality of conformity assessment bodies assessing and certifying conformity of trust service providers (TSPs) and the trust services they provide towards defined criteria against which they claim conformance.

NOTE: Those requirements are independent of the type and class of trust service provided.

The present document applies the general requirements of ISO/IEC 17065 [1] to the specific requirements of conformity assessment of TSPs.

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## 2 References

### 2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the reference document (including any amendments) applies.

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The following referenced documents are necessary for the application of the present document.

- [1] ISO/IEC 17065: "Conformity assessment -- Requirements for bodies certifying products, processes and services".

### 2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the reference document (including any amendments) applies.

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The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] Regulation 910/2014 of the European Parliament and of the Council of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC.
- [i.2] ETSI EN 319 411-1: "Electronic Signatures and Infrastructures (ESI); Policy and security requirements for Trust Service Providers issuing certificates; Part 1: General Requirements for Trust Service Providers issuing certificates".
- [i.3] ETSI EN 319 411-2: "Electronic Signatures and Infrastructures (ESI); Policy and security requirements for Trust Service Providers issuing certificates; Part 2: Requirements for Trust Service Providers issuing qualified certificates".
- [i.4] EC Regulation No 765/2008 of the European Parliament and of the Council of 9 July 2008 setting out the requirements for accreditation and market surveillance relating to the marketing of products and repealing Regulation (EEC) No 339/93.
- [i.5] ISO/IEC 17000:2004: "Conformity assessment -- Vocabulary and general principles".
- [i.6] ETSI EN 319 401: "Electronic Signatures and Infrastructures (ESI); General Policy Requirements for Trust Service Providers supporting Electronic Signatures".



- [i.7] ISO/IEC 15408: "Information technology -- Security techniques -- Evaluation criteria for IT security".
- [i.8] ISO/IEC 27001: "Information technology -- Security techniques -- Information security management systems -- Requirements".
- [i.9] ETSI EN 319 421: "Electronic Signatures and Infrastructures (ESI); Policy and Security Requirements for Trust Service Providers providing Time-Stamping Services".
- [i.10] CA/Browser Forum Baseline Requirements for the Issuance and Management of Publicly-Trusted Certificates.
- [i.11] ISO/IEC 27006: "Information technology -- Security techniques -- Requirements for bodies providing audit and certification of information security management systems".
- [i.12] ISO/IEC 17021: "Conformity assessment -- Requirements for bodies providing audit and certification of management systems".
- [i.13] ISO/IEC 27002: "Information technology -- Security techniques -- Code of practice for information security controls".

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