

STN	Spôľahlivosť otvorených systémov	STN EN IEC 62853 01 0653
------------	---	--

Open systems dependability

Táto norma obsahuje anglickú verziu európskej normy.
This standard includes the English version of the European Standard.

Táto norma bola oznámená vo Vestníku ÚNMS SR č. 02/19

Obsahuje: EN IEC 62853:2018, IEC 62853:2018

128088

EUROPEAN STANDARD

EN IEC 62853

NORME EUROPÉENNE

EUROPÄISCHE NORM

October 2018

ICS 03.100.40; 03.120.01; 21.020

English Version

**Open systems dependability
(IEC 62853:2018)**Sûreté de fonctionnement des systèmes ouverts
(IEC 62853:2018)Zuverlässigkeit offener Systeme
(IEC 62853:2018)

This European Standard was approved by CENELEC on 2018-07-18. CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration.

Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CENELEC member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CENELEC member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

CENELEC members are the national electrotechnical committees of Austria, Belgium, Bulgaria, Croatia, Cyprus, the Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, the Netherlands, Norway, Poland, Portugal, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.



European Committee for Electrotechnical Standardization
Comité Européen de Normalisation Electrotechnique
Europäisches Komitee für Elektrotechnische Normung

CEN-CENELEC Management Centre: Rue de la Science 23, B-1040 Brussels

EN IEC 62853:2018 (E)**European foreword**

The text of document 56/1772/FDIS, future edition 1 of IEC 62853, prepared by IEC/TC 56 "Dependability" was submitted to the IEC-CENELEC parallel vote and approved by CENELEC as EN IEC 62853:2018.

The following dates are fixed:

- latest date by which the document has to be implemented at national level by publication of an identical national standard or by endorsement (dop) 2019-04-18
- latest date by which the national standards conflicting with the document have to be withdrawn (dow) 2021-07-18

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CENELEC shall not be held responsible for identifying any or all such patent rights.

Endorsement notice

The text of the International Standard IEC 62853:2018 was approved by CENELEC as a European Standard without any modification.

In the official version, for Bibliography, the following notes have to be added for the standards indicated:

ISO 22301:2012 NOTE Harmonized as EN ISO 22301:2014 (not modified)
ISO 9000:2015 NOTE Harmonized as EN ISO 9000:2015 (not modified)
IEC 62741 NOTE Harmonized as EN 62741

Annex ZA (normative)

Normative references to international publications with their corresponding European publications

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

NOTE 1 Where an International Publication has been modified by common modifications, indicated by (mod), the relevant EN/HD applies.

NOTE 2 Up-to-date information on the latest versions of the European Standards listed in this annex is available here: www.cenelec.eu.

<u>Publication</u>	<u>Year</u>	<u>Title</u>	<u>EN/HD</u>	<u>Year</u>
IEC 60050-192	-	International electrotechnical vocabulary -- Part 192: Dependability	--	-
IEC 60300-1	-	Dependability management - Part 1:EN 60300-1 Guidance for management and application	-	-
ISO/IEC/IEEE 15288	2015	Systems and software engineering -- System life cycle processes	--	-



IEC 62853

Edition 1.0 2018-06

INTERNATIONAL STANDARD

NORME INTERNATIONALE



Open systems dependability

Sûreté de fonctionnement des systèmes ouverts



THIS PUBLICATION IS COPYRIGHT PROTECTED
Copyright © 2018 IEC, Geneva, Switzerland

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either IEC or IEC's member National Committee in the country of the requester. If you have any questions about IEC copyright or have an enquiry about obtaining additional rights to this publication, please contact the address below or your local IEC member National Committee for further information.

Droits de reproduction réservés. Sauf indication contraire, aucune partie de cette publication ne peut être reproduite ni utilisée sous quelque forme que ce soit et par aucun procédé, électronique ou mécanique, y compris la photocopie et les microfilms, sans l'accord écrit de l'IEC ou du Comité national de l'IEC du pays du demandeur. Si vous avez des questions sur le copyright de l'IEC ou si vous désirez obtenir des droits supplémentaires sur cette publication, utilisez les coordonnées ci-après ou contactez le Comité national de l'IEC de votre pays de résidence.

IEC Central Office
 3, rue de Varembe
 CH-1211 Geneva 20
 Switzerland

Tel.: +41 22 919 02 11
info@iec.ch
www.iec.ch

About the IEC

The International Electrotechnical Commission (IEC) is the leading global organization that prepares and publishes International Standards for all electrical, electronic and related technologies.

About IEC publications

The technical content of IEC publications is kept under constant review by the IEC. Please make sure that you have the latest edition, a corrigenda or an amendment might have been published.

IEC Catalogue - webstore.iec.ch/catalogue

The stand-alone application for consulting the entire bibliographical information on IEC International Standards, Technical Specifications, Technical Reports and other documents. Available for PC, Mac OS, Android Tablets and iPad.

IEC publications search - webstore.iec.ch/advsearchform

The advanced search enables to find IEC publications by a variety of criteria (reference number, text, technical committee,...). It also gives information on projects, replaced and withdrawn publications.

IEC Just Published - webstore.iec.ch/justpublished

Stay up to date on all new IEC publications. Just Published details all new publications released. Available online and also once a month by email.

Electropedia - www.electropedia.org

The world's leading online dictionary of electronic and electrical terms containing 21 000 terms and definitions in English and French, with equivalent terms in 16 additional languages. Also known as the International Electrotechnical Vocabulary (IEV) online.

IEC Glossary - std.iec.ch/glossary

67 000 electrotechnical terminology entries in English and French extracted from the Terms and Definitions clause of IEC publications issued since 2002. Some entries have been collected from earlier publications of IEC TC 37, 77, 86 and CISPR.

IEC Customer Service Centre - webstore.iec.ch/csc

If you wish to give us your feedback on this publication or need further assistance, please contact the Customer Service Centre: sales@iec.ch.

A propos de l'IEC

La Commission Electrotechnique Internationale (IEC) est la première organisation mondiale qui élabore et publie des Normes internationales pour tout ce qui a trait à l'électricité, à l'électronique et aux technologies apparentées.

A propos des publications IEC

Le contenu technique des publications IEC est constamment revu. Veuillez vous assurer que vous possédez l'édition la plus récente, un corrigendum ou amendement peut avoir été publié.

Catalogue IEC - webstore.iec.ch/catalogue

Application autonome pour consulter tous les renseignements bibliographiques sur les Normes internationales, Spécifications techniques, Rapports techniques et autres documents de l'IEC. Disponible pour PC, Mac OS, tablettes Android et iPad.

Recherche de publications IEC - webstore.iec.ch/advsearchform

La recherche avancée permet de trouver des publications IEC en utilisant différents critères (numéro de référence, texte, comité d'études,...). Elle donne aussi des informations sur les projets et les publications remplacées ou retirées.

IEC Just Published - webstore.iec.ch/justpublished

Restez informé sur les nouvelles publications IEC. Just Published détaille les nouvelles publications parues. Disponible en ligne et aussi une fois par mois par email.

Electropedia - www.electropedia.org

Le premier dictionnaire en ligne de termes électroniques et électriques. Il contient 21 000 termes et définitions en anglais et en français, ainsi que les termes équivalents dans 16 langues additionnelles. Egalement appelé Vocabulaire Electrotechnique International (IEV) en ligne.

Glossaire IEC - std.iec.ch/glossary

67 000 entrées terminologiques électrotechniques, en anglais et en français, extraites des articles Termes et Définitions des publications IEC parues depuis 2002. Plus certaines entrées antérieures extraites des publications des CE 37, 77, 86 et CISPR de l'IEC.

Service Clients - webstore.iec.ch/csc

Si vous désirez nous donner des commentaires sur cette publication ou si vous avez des questions contactez-nous: sales@iec.ch.



IEC 62853

Edition 1.0 2018-06

INTERNATIONAL STANDARD

NORME INTERNATIONALE



Open systems dependability

Sûreté de fonctionnement des systèmes ouverts

INTERNATIONAL
ELECTROTECHNICAL
COMMISSION

COMMISSION
ELECTROTECHNIQUE
INTERNATIONALE

ICS 03.100.40; 03.120.01; 21.020

ISBN 978-2-8322-5789-0

**Warning! Make sure that you obtained this publication from an authorized distributor.
Attention! Veuillez vous assurer que vous avez obtenu cette publication via un distributeur agréé.**

CONTENTS

FOREWORD.....	4
INTRODUCTION.....	6
1 Scope.....	7
2 Normative references	7
3 Terms and definitions	7
4 Open systems dependability	11
4.1 Open systems	11
4.2 Dependability issues specific to open systems	12
4.3 Objective	12
4.4 Achieving open systems dependability	13
4.5 Relationship to resilience and fault tolerance	13
5 Conformance	14
6 Process views for achieving open systems dependability.....	14
6.1 General.....	14
6.2 Consensus Building process view	15
6.2.1 Purpose	15
6.2.2 Outcomes	16
6.2.3 Processes, activities and tasks	17
6.3 Accountability Achievement process view	20
6.3.1 Purpose	20
6.3.2 Outcomes	21
6.3.3 Processes, activities and tasks	22
6.4 Failure Response process view.....	30
6.4.1 Purpose	30
6.4.2 Outcomes	31
6.4.3 Processes, activities and tasks	33
6.5 Change Accommodation process view	38
6.5.1 Purpose	38
6.5.2 Outcomes	39
6.5.3 Processes, activities and tasks	40
Annex A (informative) Example life cycle models with open systems dependability.....	49
A.1 General.....	49
A.2 Dependable Engineering for Open Systems (DEOS) life cycle model	49
A.3 Warranty Chain Management (WCM) life cycle model	51
Annex B (informative) An example template for dependability cases.....	53
B.1 Overview.....	53
B.2 Consensus Building argument.....	54
B.3 Accountability Achievement argument.....	56
B.4 Failure Response argument	58
B.5 Change Accommodation argument.....	61
Annex C (informative) Smart Grid	64
C.1 General.....	64
C.2 Background.....	64

C.3	Construction of a smart grid dependability case	64
C.3.1	General	64
C.3.2	Steps for construction of a smart grid dependability case.....	65
C.4	The Change Accommodation cycle	68
C.5	The Failure Response Cycle	69
	Bibliography.....	70
	Figure A.1 – DEOS life cycle model ([11], adjusted).....	50
	Figure A.2 – WCM life cycle model	52
	Figure B.1 – Overall argument	53
	Figure B.2 – Consensus Building 1	54
	Figure B.3 – Consensus Building 2	55
	Figure B.4 – Consensus Building 3	55
	Figure B.5 – Accountability Achievement 1	56
	Figure B.6 – Accountability Achievement 2	57
	Figure B.7 – Accountability Achievement 3	57
	Figure B.8 – Accountability Achievement 4	58
	Figure B.9 – Failure Response 1	59
	Figure B.10 – Failure Response 2	59
	Figure B.11 – Failure Response 3	60
	Figure B.12 – Failure Response 4	60
	Figure B.13 – Failure Response 5	61
	Figure B.14 – Failure Response 6	61
	Figure B.15 – Change Accommodation 1	62
	Figure B.16 – Change Accommodation 2	62
	Figure B.17 – Change Accommodation 3	63
	Figure B.18 – Change Accommodation 4	63

INTERNATIONAL ELECTROTECHNICAL COMMISSION

OPEN SYSTEMS DEPENDABILITY**FOREWORD**

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, access to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

International Standard IEC 62853 has been prepared by IEC technical committee 56: Dependability.

The text of this International Standard is based on the following documents:

FDIS	Report on voting
56/1772/FDIS	56/1776/RVD

Full information on the voting for the approval of this International Standard can be found in the report on voting indicated in the above table.

This document has been drafted in accordance with the ISO/IEC Directives, Part 2.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under "<http://webstore.iec.ch>" in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

IMPORTANT – The ‘colour inside’ logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

INTRODUCTION

Open systems are systems whose boundaries, functions and structure change over time and which are recognized and described differently from various points of view. The dependability of open systems is a key attribute for the life cycle of a system that operates for an extended period of time in a real-world environment. Open systems dependability is the ability of open systems to accommodate changes in purpose, objectives, environment and actual performance and to continuously maintain accountability from stakeholders, in order to provide expected services as and when required. The attributes of dependability, including availability, reliability, maintainability and supportability, are the same for open systems as conventional systems but they have to be considered in the context that no single stakeholder has a full understanding of the system or its risks.

For open systems, security is especially important since the systems are much exposed to attack by malware. Since an open system changes continuously through its life, the design process, e.g. modelled by the spiral product development model, will to some extent continue during the whole lifetime of the system.

This document elaborates on IEC 60300-1 by providing additional guidance for dependability management of open systems.

This document provides guidance on open systems dependability by using the four process views, each of which selects and combines system life cycle processes, activities and tasks of ISO/IEC/IEEE 15288: 2015.

- Change Accommodation process view;
- Accountability Achievement process view;
- Failure Response process view;
- Consensus Building process view.

A dependability case that assures these process views is crucial for stakeholders to understand and agree on the boundaries of their responsibilities, to assign accountability for implementation and to duly manage changes in achieving open systems dependability.

The intended audience for this document ranges from users, owners and customers to organizations involved in and responsible for ensuring that open systems dependability requirements are being met. Organizations include all types and sizes of corporations, public and private institutions such as government agencies, business enterprises and non-profit associations.

OPEN SYSTEMS DEPENDABILITY

1 Scope

This document provides guidance in relation to a set of requirements placed upon system life cycles in order for an open system to achieve open systems dependability.

This document elaborates on IEC 60300-1 by providing details of the changes needed to accommodate the characteristics of open systems. It defines process views based on ISO/IEC/IEEE 15288:2015, which identifies the set of system life cycle processes.

This document is applicable to life cycles of products, systems, processes or services involving hardware, software and human aspects or any integrated combinations of these elements.

For open systems, security is especially important since the systems are particularly exposed to attack.

This document can be used to improve the dependability of open systems and to provide assurance that the process views specific to open systems achieve their expected outcomes. It helps an organization define the activities and tasks that need to be undertaken to achieve dependability objectives in an open system, including dependability related communication, dependability assessment and evaluation of dependability throughout system life cycles.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60050-192, *International Electrotechnical Vocabulary – Part 192: Dependability* (available at <http://www.electropedia.org/>)

IEC 60300-1, *Dependability management – Part 1: Guidance for management and application*

ISO/IEC/IEEE 15288:2015, *Systems and software engineering – System life cycle processes*

koniec náhľadu – text ďalej pokračuje v platenej verzii STN