

|            |                                                                                         |                                                    |
|------------|-----------------------------------------------------------------------------------------|----------------------------------------------------|
| <b>STN</b> | <b>Zdroje neprerušovaného napájania (UPS)</b><br><b>Časť 1: Bezpečnostné požiadavky</b> | <b>STN</b><br><b>EN IEC 62040-1</b><br><br>36 9065 |
|------------|-----------------------------------------------------------------------------------------|----------------------------------------------------|

Uninterruptible power systems (UPS) - Part 1: Safety requirements

Táto norma obsahuje anglickú verziu európskej normy.  
This standard includes the English version of the European Standard.

Táto norma bola oznámená vo Vestníku ÚNMS SR č. 12/19

Obsahuje: EN IEC 62040-1:2019, IEC 62040-1:2017

Oznámením tejto normy sa od 19.07.2022 ruší  
STN EN 62040-1 (36 9065) z júna 2009

**130038**



EUROPEAN STANDARD

**EN IEC 62040-1**

NORME EUROPÉENNE

EUROPÄISCHE NORM

July 2019

ICS 29.200

Supersedes EN 62040-1:2008 and all of its amendments  
and corrigenda (if any)

English Version

**Uninterruptible power systems (UPS) - Part 1: Safety  
requirements  
(IEC 62040-1:2017)**Alimentations sans interruption (ASI) - Partie 1: Exigences  
de sécurité  
(IEC 62040-1:2017)Unterbrechungsfreie Stromversorgungssysteme (USV) -  
Teil 1: Sicherheitsanforderungen  
(IEC 62040-1:2017)

This European Standard was approved by CENELEC on 2017-08-16. CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration.

Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CENELEC member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CENELEC member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

CENELEC members are the national electrotechnical committees of Austria, Belgium, Bulgaria, Croatia, Cyprus, the Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, the Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.



European Committee for Electrotechnical Standardization  
Comité Européen de Normalisation Electrotechnique  
Europäisches Komitee für Elektrotechnische Normung

**CEN-CENELEC Management Centre: Rue de la Science 23, B-1040 Brussels**

**EN IEC 62040-1:2019 (E)****European foreword**

The text of document 22H/217/FDIS, future edition 2 of IEC 62040-1, prepared by SC 22H "Uninterruptible power systems (UPS)" of IEC/TC 22 "Power electronic systems and equipment" was submitted to the IEC-CENELEC parallel vote and approved by CENELEC as EN IEC 62040-1:2019.

The following dates are fixed:

- latest date by which the document has to be implemented at national level by publication of an identical national standard or by endorsement (dop) 2020-01-19
- latest date by which the national standards conflicting with the document have to be withdrawn (dow) 2022-07-19

This document supersedes EN 62040-1:2008 and all of its amendments and corrigenda (if any).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CENELEC shall not be held responsible for identifying any or all such patent rights.

This document has been prepared under a mandate given to CENELEC by the European Commission and the European Free Trade Association.

**Endorsement notice**

The text of the International Standard IEC 62040-1:2017 was approved by CENELEC as a European Standard without any modification.

In the official version, for Bibliography, the following notes have to be added for the standards indicated:

|                            |      |                                                        |
|----------------------------|------|--------------------------------------------------------|
| IEC 60076-11:2004          | NOTE | Harmonized as EN 60076-11:2004 (not modified)          |
| IEC 60364-5-52             | NOTE | Harmonized as HD 60364-5-52                            |
| IEC 60947-1:2007           | NOTE | Harmonized as EN 60947-1:2007 (not modified)           |
| IEC 60947-3:2008           | NOTE | Harmonized as EN 60947-3:2009 (not modified)           |
| IEC 60947-6-1:2005         | NOTE | Harmonized as EN 60947-6-1:2005 (not modified)         |
| IEC 60947-6-1:2005/A1:2013 | NOTE | Harmonized as EN 60947-6-1:2005/A1:2014 (not modified) |
| IEC 61347 (series)         | NOTE | Harmonized as EN 61347 (series)                        |
| IEC 61439-1:2011           | NOTE | Harmonized as EN 61439-1:2011 (not modified)           |
| IEC 61508 (series)         | NOTE | Harmonized as EN 61508 (series)                        |
| IEC 62040-3:2011           | NOTE | Harmonized as EN 62040-3:2011 (not modified)           |
| IEC 62310-1                | NOTE | Harmonized as EN 62310-1                               |
| IEC 62368-1:2014           | NOTE | Harmonized as EN 62368-1:2014/AC:2015 (not modified)   |

## Annex ZA

### (normative)

### Normative references to international publications with their corresponding European publications

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

NOTE 1 Where an International Publication has been modified by common modifications, indicated by (mod), the relevant EN/HD applies.

NOTE 2 Up-to-date information on the latest versions of the European Standards listed in this annex is available here: [www.cenelec.eu](http://www.cenelec.eu).

| <u>Publication</u>       | <u>Year</u> | <u>Title</u>                                                                                                                                                                           | <u>EN/HD</u>  | <u>Year</u> |
|--------------------------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|-------------|
| IEC 60364-4-42-<br>(mod) |             | Low-voltage electrical installations - Part 4-42: Protection for safety - Protection against thermal effects                                                                           | EN 60364-4-42 | -           |
| IEC 60384-14             | -           | Fixed capacitors for use in electronic equipment - Part 14: Sectional specification - Fixed capacitors for electromagnetic interference suppression and connection to the supply mains | EN 60384-14   | -           |
| IEC/TR 60755             | -           | General requirements for residual current-operated protective devices                                                                                                                  |               | -           |
| IEC 60947-2              | 2006        | Low-voltage switchgear and controlgear - Part 2: Circuit-breakers                                                                                                                      | EN 60947-2    | 2006        |
| IEC 60950-1 (mod)        | 2005        | Information technology equipment - Safety - Part 1: General requirements                                                                                                               | EN 60950-1    | 2006        |
|                          |             |                                                                                                                                                                                        | + A11         | 2009        |
|                          |             |                                                                                                                                                                                        | + A12         | 2011        |
| IEC 61000-2-2            | 2002        | Electromagnetic compatibility (EMC) - Part 2-2: Environment - Compatibility levels for low-frequency conducted disturbances and signalling in public low-voltage power supply systems  | EN 61000-2-2  | 2002        |
| IEC 61008-1 (mod)        | -           | Residual current operated circuit-breakers without integral overcurrent protection for household and similar uses (RCCBs) - Part 1: General rules                                      | EN 61008-1    | -           |
| IEC 61009-1 (mod)        | -           | Residual current operated circuit-breakers with integral overcurrent protection for household and similar uses (RCBOs) - Part 1: General rules                                         | EN 61009-1    | -           |
| IEC 62040-2              | 2005        | Uninterruptible power systems (UPS) - Part 2: Electromagnetic compatibility (EMC) requirements                                                                                         | EN 62040-2    | 2006        |

**EN IEC 62040-1:2019 (E)**

| <u>Publication</u> | <u>Year</u> | <u>Title</u>                                                                               | <u>EN/HD</u> | <u>Year</u> |
|--------------------|-------------|--------------------------------------------------------------------------------------------|--------------|-------------|
| IEC 62477-1        | 2012        | Safety requirements for power electronic converter systems and equipment - Part 1: General | EN 62477-1   | 2012        |



IEC 62040-1

Edition 2.0 2017-07

# INTERNATIONAL STANDARD

## NORME INTERNATIONALE



**Uninterruptible power systems (UPS) –  
Part 1: Safety requirements**

**Alimentations sans interruption (ASI) –  
Partie 1: Exigences de sécurité**

**THIS PUBLICATION IS COPYRIGHT PROTECTED****Copyright © 2017 IEC, Geneva, Switzerland**

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either IEC or IEC's member National Committee in the country of the requester. If you have any questions about IEC copyright or have an enquiry about obtaining additional rights to this publication, please contact the address below or your local IEC member National Committee for further information.

Droits de reproduction réservés. Sauf indication contraire, aucune partie de cette publication ne peut être reproduite ni utilisée sous quelque forme que ce soit et par aucun procédé, électronique ou mécanique, y compris la photocopie et les microfilms, sans l'accord écrit de l'IEC ou du Comité national de l'IEC du pays du demandeur. Si vous avez des questions sur le copyright de l'IEC ou si vous désirez obtenir des droits supplémentaires sur cette publication, utilisez les coordonnées ci-après ou contactez le Comité national de l'IEC de votre pays de résidence.

IEC Central Office  
3, rue de Varembe  
CH-1211 Geneva 20  
Switzerland

Tel.: +41 22 919 02 11  
Fax: +41 22 919 03 00  
[info@iec.ch](mailto:info@iec.ch)  
[www.iec.ch](http://www.iec.ch)

**About the IEC**

The International Electrotechnical Commission (IEC) is the leading global organization that prepares and publishes International Standards for all electrical, electronic and related technologies.

**About IEC publications**

The technical content of IEC publications is kept under constant review by the IEC. Please make sure that you have the latest edition, a corrigenda or an amendment might have been published.

**IEC Catalogue - [webstore.iec.ch/catalogue](http://webstore.iec.ch/catalogue)**

The stand-alone application for consulting the entire bibliographical information on IEC International Standards, Technical Specifications, Technical Reports and other documents. Available for PC, Mac OS, Android Tablets and iPad.

**IEC publications search - [www.iec.ch/searchpub](http://www.iec.ch/searchpub)**

The advanced search enables to find IEC publications by a variety of criteria (reference number, text, technical committee,...). It also gives information on projects, replaced and withdrawn publications.

**IEC Just Published - [webstore.iec.ch/justpublished](http://webstore.iec.ch/justpublished)**

Stay up to date on all new IEC publications. Just Published details all new publications released. Available online and also once a month by email.

**Electropedia - [www.electropedia.org](http://www.electropedia.org)**

The world's leading online dictionary of electronic and electrical terms containing 20 000 terms and definitions in English and French, with equivalent terms in 16 additional languages. Also known as the International Electrotechnical Vocabulary (IEV) online.

**IEC Glossary - [std.iec.ch/glossary](http://std.iec.ch/glossary)**

65 000 electrotechnical terminology entries in English and French extracted from the Terms and Definitions clause of IEC publications issued since 2002. Some entries have been collected from earlier publications of IEC TC 37, 77, 86 and CISPR.

**IEC Customer Service Centre - [webstore.iec.ch/csc](http://webstore.iec.ch/csc)**

If you wish to give us your feedback on this publication or need further assistance, please contact the Customer Service Centre: [csc@iec.ch](mailto:csc@iec.ch).

**A propos de l'IEC**

La Commission Electrotechnique Internationale (IEC) est la première organisation mondiale qui élabore et publie des Normes internationales pour tout ce qui a trait à l'électricité, à l'électronique et aux technologies apparentées.

**A propos des publications IEC**

Le contenu technique des publications IEC est constamment revu. Veuillez vous assurer que vous possédez l'édition la plus récente, un corrigendum ou amendement peut avoir été publié.

**Catalogue IEC - [webstore.iec.ch/catalogue](http://webstore.iec.ch/catalogue)**

Application autonome pour consulter tous les renseignements bibliographiques sur les Normes internationales, Spécifications techniques, Rapports techniques et autres documents de l'IEC. Disponible pour PC, Mac OS, tablettes Android et iPad.

**Recherche de publications IEC - [www.iec.ch/searchpub](http://www.iec.ch/searchpub)**

La recherche avancée permet de trouver des publications IEC en utilisant différents critères (numéro de référence, texte, comité d'études,...). Elle donne aussi des informations sur les projets et les publications remplacées ou retirées.

**IEC Just Published - [webstore.iec.ch/justpublished](http://webstore.iec.ch/justpublished)**

Restez informé sur les nouvelles publications IEC. Just Published détaille les nouvelles publications parues. Disponible en ligne et aussi une fois par mois par email.

**Electropedia - [www.electropedia.org](http://www.electropedia.org)**

Le premier dictionnaire en ligne de termes électroniques et électriques. Il contient 20 000 termes et définitions en anglais et en français, ainsi que les termes équivalents dans 16 langues additionnelles. Egalement appelé Vocabulaire Electrotechnique International (IEV) en ligne.

**Glossaire IEC - [std.iec.ch/glossary](http://std.iec.ch/glossary)**

65 000 entrées terminologiques électrotechniques, en anglais et en français, extraites des articles Termes et Définitions des publications IEC parues depuis 2002. Plus certaines entrées antérieures extraites des publications des CE 37, 77, 86 et CISPR de l'IEC.

**Service Clients - [webstore.iec.ch/csc](http://webstore.iec.ch/csc)**

Si vous désirez nous donner des commentaires sur cette publication ou si vous avez des questions contactez-nous: [csc@iec.ch](mailto:csc@iec.ch).



IEC 62040-1

Edition 2.0 2017-07

# INTERNATIONAL STANDARD

## NORME INTERNATIONALE



---

**Uninterruptible power systems (UPS) –  
Part 1: Safety requirements**

**Alimentations sans interruption (ASI) –  
Partie 1: Exigences de sécurité**

INTERNATIONAL  
ELECTROTECHNICAL  
COMMISSION

COMMISSION  
ELECTROTECHNIQUE  
INTERNATIONALE

ICS 29.200

ISBN 978-2-8322-4469-2

|                                                                                                                                                                                                                      |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p><b>Warning! Make sure that you obtained this publication from an authorized distributor.</b></p> <p><b>Attention! Veuillez vous assurer que vous avez obtenu cette publication via un distributeur agréé.</b></p> |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

## CONTENTS

|                                                                                                                                                   |    |
|---------------------------------------------------------------------------------------------------------------------------------------------------|----|
| FOREWORD.....                                                                                                                                     | 4  |
| INTRODUCTION.....                                                                                                                                 | 6  |
| 1 Scope.....                                                                                                                                      | 7  |
| 2 Normative references .....                                                                                                                      | 7  |
| 3 Terms and definitions .....                                                                                                                     | 8  |
| 4 Protection against hazards .....                                                                                                                | 15 |
| 5 Test requirements.....                                                                                                                          | 28 |
| 6 Information and marking requirements.....                                                                                                       | 42 |
| Annexes .....                                                                                                                                     | 49 |
| Annex A (normative) Additional information for protection against electric shock.....                                                             | 50 |
| Annex M (informative) Test probes for determining access .....                                                                                    | 51 |
| Annex AA (informative) Minimum and maximum cross-section of copper conductors<br>suitable for connection to terminals for external conductor..... | 52 |
| Annex BB (normative) Reference loads.....                                                                                                         | 53 |
| Annex CC (normative) Ventilation of lead-acid battery compartments .....                                                                          | 57 |
| Annex DD (informative) Guidance for disconnection of batteries during shipment .....                                                              | 60 |
| Annex EE (informative) Short-time withstand current test procedure – Guidance and<br>typical values.....                                          | 62 |
| Annex FF (informative) Maximum heating effect in transformer tests.....                                                                           | 66 |
| Annex GG (normative) Requirements for the mounting means of rack-mounted<br>equipment.....                                                        | 68 |
| Bibliography.....                                                                                                                                 | 70 |
| Figure 101 – Examples of design of openings preventing vertical access.....                                                                       | 18 |
| Figure 102 – Test circuit for load-induced change of reference potential – Single-<br>phase output.....                                           | 34 |
| Figure 103 – Test circuit for load-induced change of reference potential – Three-phase<br>output .....                                            | 34 |
| Figure 104 – Voltage backfeed warning label .....                                                                                                 | 45 |
| Figure M.101 – Jointed test finger (IP2X).....                                                                                                    | 51 |
| Figure BB.1 – Reference resistive load .....                                                                                                      | 53 |
| Figure BB.2 – Reference inductive-resistive load (series) .....                                                                                   | 54 |
| Figure BB.3 – Reference inductive-resistive load (parallel) .....                                                                                 | 54 |
| Figure BB.4 – Reference capacitive-resistive load (series).....                                                                                   | 54 |
| Figure BB.5 – Reference capacitive-resistive load (parallel).....                                                                                 | 54 |
| Figure BB.6 – Reference non-linear load .....                                                                                                     | 55 |
| Figure DD.1 – Precautionary label for products shipped with the battery disconnected .....                                                        | 60 |
| Figure DD.2 – Precautionary label for products shipped with the battery connected .....                                                           | 61 |
| Figure EE.1 – 3-wire test circuit for UPS short-time withstand current.....                                                                       | 62 |
| Figure EE.2 – 4-wire test circuit for UPS short-time withstand current.....                                                                       | 63 |
| Figure EE.3 – 2-wire test circuit for single phase UPS short-time withstand current .....                                                         | 64 |
| Table 1 – Alphabetical list of terms .....                                                                                                        | 9  |

|                                                                                                                |    |
|----------------------------------------------------------------------------------------------------------------|----|
| Table 101 – UPS input port configuration .....                                                                 | 16 |
| Table 102 – Overvoltage categories .....                                                                       | 19 |
| Table 103 – Maximum temperature limits for magnetic components during stored<br>energy mode of operation ..... | 21 |
| Table 22 – Test overview .....                                                                                 | 29 |
| Table 104 – Short-time withstand current .....                                                                 | 37 |
| Table 105 – Temperature limits for transformer windings .....                                                  | 40 |
| Table A.101 – Comparison of limits of working voltage .....                                                    | 50 |
| Table AA.1 – Conductor cross-sections (extract from IEC 61439-1:2011).....                                     | 52 |
| Table FF.1 – Test steps .....                                                                                  | 66 |

## INTERNATIONAL ELECTROTECHNICAL COMMISSION

---

**UNINTERRUPTIBLE POWER SYSTEMS (UPS) –****Part 1: Safety requirements****FOREWORD**

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, access to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

International Standard IEC 62040-1 has been prepared by subcommittee 22H: Uninterruptible power systems (UPS), of IEC technical committee 22: Power electronic systems and equipment.

This second edition cancels and replaces the first edition published in 2008 and its Amendment 1:2013. This edition constitutes a technical revision.

This edition includes the following significant technical change with respect to the previous edition: the reference document has been changed from IEC 60950-1:2005 (safety for IT equipment) to IEC 62477-1 (group safety standard for power electronic converters).

The text of this International Standard is based on the following documents:

| FDIS         | Report on voting |
|--------------|------------------|
| 22H/217/FDIS | 22H/218/RVD      |

Full information on the voting for the approval of this International Standard can be found in the report on voting indicated in the above table.

This document has been drafted in accordance with the ISO/IEC Directives, Part 2.

This International Standard is to be read in conjunction with IEC 62477-1:2012.

The provisions of the general rules dealt within IEC 62477-1:2012 are only applicable to this document insofar as they are specifically cited. Clauses and subclauses of IEC 62477-1:2012 that are applicable in this document are identified by reference to IEC 62477-1:2012, for example, "Clause 4 of IEC 62477-1:2012 applies, except as follows".

The exceptions are then listed. The exceptions can take the form of a deletion, a replacement or an addition of subclauses, tables, figures or annexes.

Subclauses, tables and figures that are additional to those in IEC 62477-1:2012 are, in this document, identified by a suffix in the format of X.10x, for example 4.3.101.

Annexes that are additional to those in IEC 62477-1:2012 are, in this document, lettered AA, BB, etc.

In this document, the following print types are used:

- requirements and normative annexes: roman type
- compliance statements and test specifications: *italic type*
- notes and other informative matter: smaller roman type
- normative conditions within tables: smaller roman type
- terms that are defined in Clause 3: **bold**

A list of all parts in the IEC 62040 series, published under the general title *Uninterruptible Power Systems (UPS)*, can be found on the IEC website.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under "<http://webstore.iec.ch>" in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

**IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.**

## INTRODUCTION

IEC technical sub-committee 22H: Uninterruptible power systems (UPS) carefully considered the relevance of each paragraph of IEC 62477-1:2012 in UPS applications. This part of IEC 62040 utilizes IEC 62477-1:2012 as a reference document and references, adds, replaces or modifies requirements as relevant. This is because product-specific topics not covered by the reference document are the responsibility of the technical committee using the reference document.

IEC 62477-1:2012 relates to products that include power electronic converters, with a rated system voltage not exceeding 1 000 V AC or 1 500 V DC. It specifies requirements to reduce risks of fire, electric shock, thermal, energy and mechanical hazards, except functional safety as defined in IEC 61508 (all parts). The objectives of this document are to establish a common terminology and basis for the safety requirements of products that contain power electronic converters across several IEC technical committees.

IEC 62477-1:2012 was developed with the intention:

- to be used as a reference document for product committees inside IEC technical committee 22: Power electronic systems and equipment in the development of product standards for power electronic converter systems and equipment;
- to replace IEC 62103 as a product family standard providing minimum requirements for safety aspects of power electronic converter systems and equipment in apparatus for which no product standard exists; and

NOTE The scope of IEC 62103 contains reliability aspects, which are not covered by this document.

- to be used as a reference document for product committees outside TC 22 in the development of product standards of power electronic converter systems and equipment intended for renewable energy sources. TC 82, TC 88, TC 105 and TC 114, in particular, have been identified as relevant technical committees at the time of publication.

The reference document, being a group safety standard, will not take precedence over this product-specific standard according to IEC Guide 104. IEC Guide 104 provides information about the responsibility of product committees to use group safety standards for the development of their own product standards.

# UNINTERRUPTIBLE POWER SYSTEMS (UPS) –

## Part 1: Safety requirements

### 1 Scope

This part of IEC 62040 applies to movable, stationary, fixed or built-in **UPS** for use in low-voltage distribution systems and that are intended to be installed in an area accessible by an **ordinary person** or in a restricted access area as applicable, that deliver fixed frequency AC output voltage with port voltages not exceeding 1 000 V AC or 1 500 V DC and that include an energy storage device. It applies to pluggable and to permanently connected **UPS**, whether consisting of a system of interconnected units or of independent units, subject to installing, operating and maintaining the **UPS** in the manner prescribed by the manufacturer.

NOTE 1 Typical **UPS** configurations, including voltage and/or frequency converters and other topologies, are described in IEC 62040-3, the test and performance product standard for **UPS**.

NOTE 2 **UPS** generally connect to their energy storage device through a DC link. A chemical battery is used throughout the standard as an example of an energy storage device. Alternative devices exist, and as such, where "battery" appears in the text of this document, this is to be understood as "energy storage device".

This document specifies requirements to ensure safety for the **ordinary person** who comes into contact with the **UPS** and, where specifically stated, for the **skilled person**. The objective is to reduce risks of fire, electric shock, thermal, energy and mechanical hazards during use and operation and, where specifically stated, during service and maintenance.

This product standard is harmonized with the applicable parts of group safety publication IEC 62477-1:2012 for power electronic converter systems and contains additional requirements relevant to **UPS**.

This document does not cover:

- UPS that have a DC output;
- systems for operation on moving platforms including, but not limited to, aircrafts, ships and motor vehicles;
- external AC or DC input and output distribution boards covered by their specific product standard;
- stand-alone static transfer systems (STS) covered by IEC 62310-1;
- systems wherein the output voltage is directly derived from a rotating machine;
- telecommunications apparatus other than **UPS** for such apparatus;
- functional safety aspects covered by IEC 61508 (all parts).

NOTE 3 Even if this document does not cover the applications listed above, it is commonly taken as a guide for such applications.

NOTE 4 Specialized **UPS** applications are generally governed by additional requirements covered elsewhere, for example **UPS** for medical applications.

### 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

Clause 2 of IEC 62477-1:2012 applies, except as follows:

*Add the following normative references:*

IEC 60364-4-42, *Low-voltage electrical installations – Part 4-42: Protection for safety – Protection against thermal effects*

IEC 60384-14, *Fixed capacitors for use in electronic equipment – Part 14: Sectional specification – Fixed capacitors for electromagnetic interference suppression and connection to the supply mains*

IEC TR 60755, *General requirements for residual current operated protective devices*

IEC 60947-2:2006, *Low-voltage switchgear and controlgear – Part 2: Circuit-breakers*<sup>1</sup>

IEC 60950-1:2005, *Information technology equipment – Safety – Part 1: General requirements*

IEC 61000-2-2:2002, *Electromagnetic compatibility (EMC) – Part 2-2: Environment – Compatibility levels for low-frequency conducted disturbances and signaling in public low-voltage power supply systems*

IEC 61008-1, *Residual current operated circuit-breakers without integral overcurrent protection for household and similar uses (RCCBs) – Part 1: General rules*

IEC 61009-1, *Residual current operated circuit-breakers with integral overcurrent protection for household and similar uses (RCBOs) – Part 1: General rules*

IEC 62040-2:2005, *Uninterruptible power systems (UPS) – Part 2: Electromagnetic compatibility (EMC) requirements*<sup>2</sup>

IEC 62477-1:2012, *Safety requirements for power electronic converter systems and equipment – Part 1: General*

**koniec náhľadu – text ďalej pokračuje v platenej verzii STN**

---

<sup>1</sup> 4<sup>th</sup> edition (2006). This 4<sup>th</sup> edition has been replaced in 2016 by a 5<sup>th</sup> edition IEC 60947-2:2016, *Low-voltage switchgear and controlgear – Part 2: Circuit-breakers*.

<sup>2</sup> 2<sup>nd</sup> edition (2005). This 2<sup>nd</sup> edition has been replaced in 2016 by a 3<sup>rd</sup> edition IEC 62040-2:2016, *Uninterruptible power systems (UPS) – Part 2: Electromagnetic compatibility (EMC) requirements*.