

<b>STN</b>	<b>Aplikovanie manažérstva rizika na siete IT obsahujúce zdravotnícke pomôcky</b> <b>Časť 1: Bezpečnosť, efektívnosť a ochrana údajov pri implementácii a používaní integrovaných zdravotníckych pomôcok a integrovaného zdravotníckeho softvéru</b>	<b>STN EN IEC 80001-1</b>  85 5232
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Application of risk management for IT-networks incorporating medical devices - Part 1: Safety, effectiveness and security in the implementation and use of connected medical devices or connected health software

Táto norma obsahuje anglickú verziu európskej normy.  
This standard includes the English version of the European Standard.

Táto norma bola oznámená vo Vestníku ÚNMS SR č. 12/21

Obsahuje: EN IEC 80001-1:2021, IEC 80001-1:2021

Oznámením tejto normy sa od 26.10.2024 ruší  
STN EN 80001-1 (85 5232) z augusta 2011

EUROPEAN STANDARD

**EN IEC 80001-1**

NORME EUROPÉENNE

EUROPÄISCHE NORM

October 2021

ICS 11.040.01; 35.240.80

Supersedes EN 80001-1:2011 and all of its amendments  
and corrigenda (if any)

English Version

**Application of risk management for IT-networks incorporating  
medical devices - Part 1: Safety, effectiveness and security in  
the implementation and use of connected medical devices or  
connected health software  
(IEC 80001-1:2021)**

Application de la gestion des risques aux réseaux des  
technologies de l'information contenant des dispositifs  
médicaux - Partie 1: Sûreté, efficacité et sécurité dans la  
mise en œuvre et l'utilisation des dispositifs médicaux  
connectés ou des logiciels de santé connectés  
(IEC 80001-1:2021)

Sicherheit, Effektivität und Daten- und Systemsicherheit bei  
Implementierung und Gebrauch von eingebundenen  
Medizinprodukten oder eingebundener  
Gesundheitssoftware - Teil 1: Anwendung von  
Risikomanagement  
(IEC 80001-1:2021)

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**CEN-CENELEC Management Centre: Rue de la Science 23, B-1040 Brussels**

## EN IEC 80001-1:2021 (E)

### European foreword

The text of document 62A/1434/FDIS, future edition 2 of IEC 80001-1, prepared by SC 62A “Common aspects of electrical equipment used in medical practice” of IEC/TC 62 “Electrical equipment in medical practice” was submitted to the IEC-CENELEC parallel vote and approved by CENELEC as EN IEC 80001-1:2021.

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ISO 14971:2019 NOTE Harmonized as EN ISO 14971:2019 (not modified)

ISO 13940:2015 NOTE Harmonized as EN ISO 13940:2016 (not modified)

IEC 60601-1:2005 NOTE Harmonized as EN 60601-1:2006 (not modified) +A11:2011



IEC 80001-1

Edition 2.0 2021-09

# INTERNATIONAL STANDARD

# NORME INTERNATIONALE



**Application of risk management for IT-networks incorporating medical devices –  
Part 1: Safety, effectiveness and security in the implementation and use of  
connected medical devices or connected health software**

**Application de la gestion des risques aux réseaux des technologies de  
l'information contenant des dispositifs médicaux –  
Partie 1: Sûreté, efficacité et sécurité dans la mise en œuvre et l'utilisation des  
dispositifs médicaux connectés ou des logiciels de santé connectés**



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IEC 80001-1

Edition 2.0 2021-09

# INTERNATIONAL STANDARD

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INTERNATIONAL  
ELECTROTECHNICAL  
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COMMISSION  
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INTERNATIONALE

ICS 11.040.01; 35.240.80

ISBN 978-2-8322-9748-3

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## INTERNATIONAL ELECTROTECHNICAL COMMISSION

**APPLICATION OF RISK MANAGEMENT FOR IT-NETWORKS  
INCORPORATING MEDICAL DEVICES –****Part 1: Safety, effectiveness and security in the implementation and use  
of connected medical devices or connected health software**

## FOREWORD

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International Standard IEC 80001-1 has been prepared by a Joint Working Group of Subcommittee 62A: Common aspects of electrical equipment used in medical practice, of IEC Technical Committee 62: Electrical equipment in medical practice, and of ISO Technical Committee 215: Health informatics.

It is published as a double logo standard.

This second edition cancels and replaces the first edition published in 2010. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- a) structure changed to better align with ISO 31000;
- b) establishment of requirements for an ORGANIZATION in the application of RISK MANAGEMENT;

- c) communication of the value, intention and purpose of RISK MANAGEMENT through principles that support preservation of the KEY PROPERTIES during the implementation and use of connected HEALTH SOFTWARE and/or HEALTH IT SYSTEMS.

The text of this document is based on the following documents:

FDIS	Report on voting
62A/1434/FDIS	62A/1448/RVD

Full information on the voting for the approval of this document can be found in the report on voting indicated in the above table.

This document has been drafted in accordance with the ISO/IEC Directives, Part 2.

In this document, the following print types are used:

- requirements and definitions: roman type;
- *test specifications: italic type;*
- informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type;
- TERMS DEFINED IN CLAUSE 3 OF THIS DOCUMENT OR AS NOTED ARE PRINTED IN SMALL CAPITALS.

In referring to the structure of this document, the term

- “clause” means one of the five numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 5 includes subclauses 5.1, 5.2, etc.);
- “subclause” means a numbered subdivision of a clause (e.g. 5.1, 5.2 and 5.3 are all subclauses of Clause 5).

References to clauses within this document are preceded by the term “Clause” followed by the clause number. References to subclauses within this particular standard are by number only.

In this document, the conjunctive “or” is used as an “inclusive or” so a statement is true if any combination of the conditions is true.

The verbal forms used in this document conform to usage described in Clause 7 of the ISO/IEC Directives, Part 2. For the purposes of this document, the auxiliary verb:

- “shall” means that compliance with a requirement or a test is mandatory for compliance with this document;
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- “may” is used to describe a permissible way to achieve compliance with a requirement or test.

A list of all parts of the IEC 80001 series, published under the general title *Safety, effectiveness and security in the implementation and use of connected medical devices or connected health software*, can be found on the IEC website.

Future standards in this series will carry the new general title as cited above. Titles of existing standards in this series will be updated at the time of the next edition.

The committee has decided that the contents of this standard will remain unchanged until the stability date indicated on the IEC website under "<https://webstore.iec.ch>" in the data related to the specific standard. At this date, the standard will be

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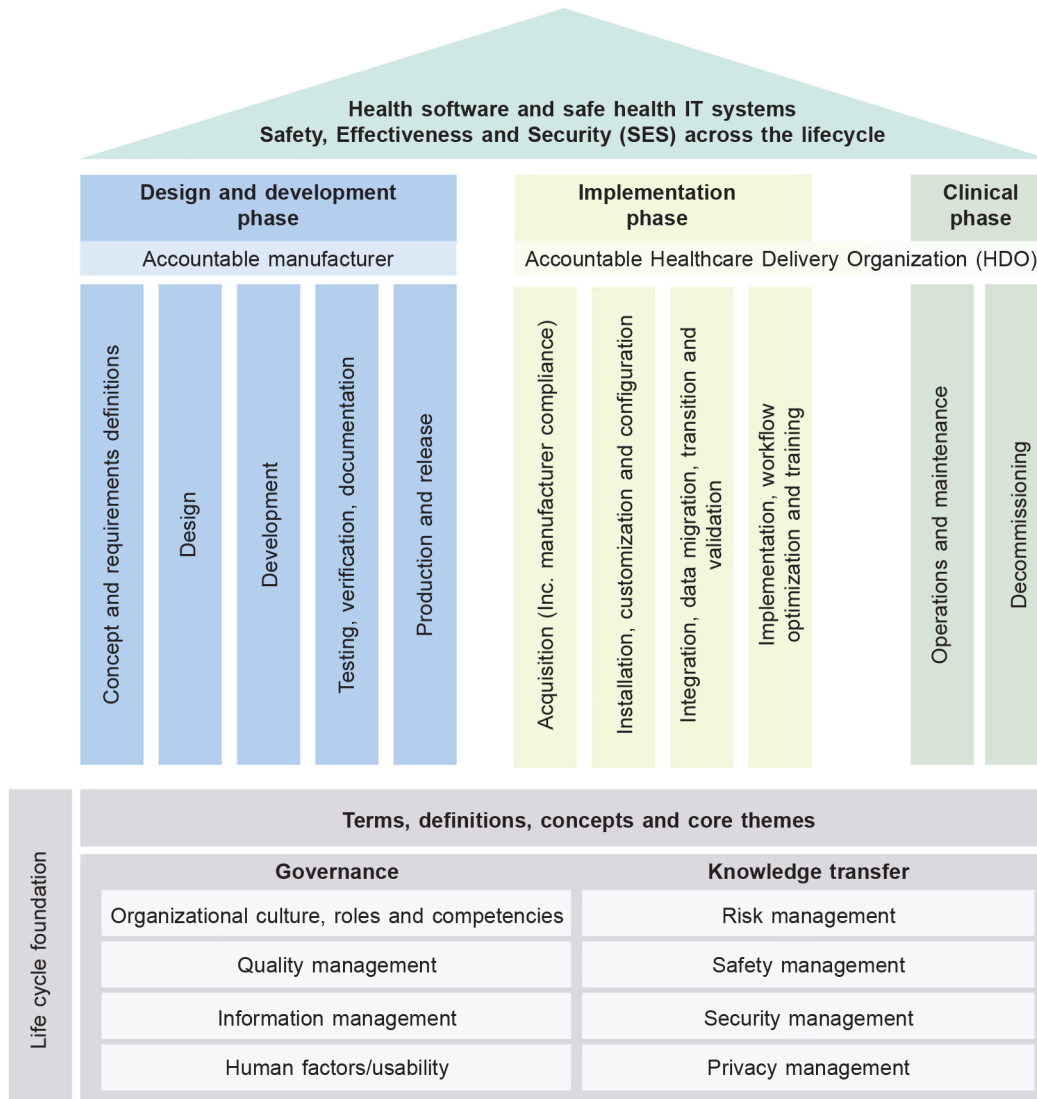
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## INTRODUCTION

HEALTHCARE DELIVERY ORGANIZATIONS rely on safe, effective and secure systems as business-critical factors. However, ineffective management of the implementation and use of connected systems can threaten the ability to deliver health services.

Connected systems that deliver health services, generally involve multiple software applications, various medical devices and complex HEALTH IT SYSTEMS that rely upon shared infrastructure including wired or wireless networks, point to point connections, application servers and data storage, interface engines, security and performance management software, etc. These HEALTH IT INFRASTRUCTURES are often used for both clinical (e.g. patient monitoring systems) and non-clinical organizational functions (e.g. accounting, scheduling, social networking, multimedia, file sharing). These connected systems can involve small departmental networks to large integrated infrastructures spanning multiple locations as well as cloud-based services operated by third parties. The requirements in this document are intended for multiple stakeholders involved in the application of RISK MANAGEMENT to systems that include HEALTH IT SYSTEMS and / or HEALTH IT INFRASTRUCTURE.

Within the context of ISO 81001-1, this document covers the generic lifecycle phase “implementation and clinical use” (see the lifecycle diagram in Figure 1).



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**Figure 1 – Lifecycle framework addressing safety, effectiveness and security of health software and health IT systems**

This document facilitates ORGANIZATIONS in using or adapting existing work practices and processes, personnel and tools wherever practicable to address the requirements of this document. For example, if an organization has an existing RISK MANAGEMENT PROCESS, this can be used or adapted to support the three KEY PROPERTIES of SAFETY, EFFECTIVENESS, and SECURITY. Requirements are defined such that they can be evaluated and as such support an ORGANIZATION in verifying and demonstrating the degree of compliance with this document.

The RISK MANAGEMENT requirements of this document are based upon existing concepts adapted and extended for use by all stakeholders supporting implementation and clinical use of connected HEALTH SOFTWARE and HEALTH IT SYSTEMS (including medical devices). This document aligns with ISO 81001-1, ISO/IEC Guide 63, IEC Guide 120.

## **APPLICATION OF RISK MANAGEMENT FOR IT-NETWORKS INCORPORATING MEDICAL DEVICES –**

### **Part 1: Safety, effectiveness and security in the implementation and use of connected medical devices or connected health software**

#### **1 Scope**

This document specifies general requirements for ORGANIZATIONS in the application of RISK MANAGEMENT before, during and after the connection of a HEALTH IT SYSTEM within a HEALTH IT INFRASTRUCTURE, by addressing the KEY PROPERTIES of SAFETY, EFFECTIVENESS and SECURITY whilst engaging appropriate stakeholders.

#### **2 Normative references**

There are no normative references in this document.

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