

STN	Sterilizácia zdravotníckych pomôcok žiarením Časť 2: Stanovenie sterilizačnej dávky (ISO 11137-2: 2013/Amd 1: 2022) Zmena A1	STN EN ISO 11137-2/A1 85 5012
------------	---	---

Sterilization of health care products - Radiation - Part 2: Establishing the sterilization dose (ISO 11137-2:2013)

Táto norma obsahuje anglickú verziu európskej normy.
This standard includes the English version of the European Standard.

Táto norma bola oznámená vo Vestníku ÚNMS SR č. 06/23

Obsahuje: EN ISO 11137-2:2015/A1:2023, ISO 11137-2:2013/Amd 1:2022

136972

EUROPEAN STANDARD

EN ISO 11137-2:2015/A1

NORME EUROPÉENNE

EUROPÄISCHE NORM

April 2023

ICS 11.080.01

English Version

**Sterilization of health care products - Radiation - Part 2:
Establishing the sterilization dose - Amendment 1 (ISO
11137-2:2013/Amd 1:2022)**

Stérilisation des produits de santé - Irradiation - Partie
2: Établissement de la dose stérilisante - Amendement
1 (ISO 11137-2:2013/Amd 1:2022)

Sterilisation von Produkten für die
Gesundheitsfürsorge - Strahlen - Teil 2: Festlegung der
Sterilisationsdosis - Änderung 1 (ISO 11137-
2:2013/Amd 1:2022)

This amendment A1 modifies the European Standard EN ISO 11137-2:2015; it was approved by CEN on 29 May 2022.

CEN members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for inclusion of this amendment into the relevant national standard without any alteration. Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CEN member.

This amendment exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CEN member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

CEN members are the national standards bodies of Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Türkiye and United Kingdom.



EUROPEAN COMMITTEE FOR STANDARDIZATION
COMITÉ EUROPÉEN DE NORMALISATION
EUROPÄISCHES KOMITEE FÜR NORMUNG

CEN-CENELEC Management Centre: Rue de la Science 23, B-1040 Brussels

EN ISO 11137-2:2015/A1:2023 (E)

Contents	Page
European foreword.....	3
Annex ZA (informative) Relationship between this European Standard and the General Safety and Performance Requirements of Regulation (EU) 2017/745 aimed to be covered.....	4
Annex ZB (informative) Relationship between this European Standard and the General Safety and Performance Requirements of Regulation (EU) 2017/746 aimed to be covered.....	7

European foreword

This document (EN ISO 11137-2:2015/A1:2023) has been prepared by Technical Committee ISO/TC 198 "Sterilization of health care products" in collaboration with Technical Committee CEN/TC 204 "Sterilization of medical devices" the secretariat of which is held by BSI.

This Amendment to the European Standard EN ISO 11137-2:2015 shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by October 2023, and conflicting national standards shall be withdrawn at the latest by October 2023.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN shall not be held responsible for identifying any or all such patent rights.

This document has been prepared under a standardization request M/575 of 14.4.2021 given to CEN by the European Commission and supports general safety and performance requirements of EU Regulations(s).

For the relationship with EU Directive(s) see informative Annex ZA and Annex ZB, which are an integral part of this document.

Any feedback and questions on this document should be directed to the users' national standards body/national committee. A complete listing of these bodies can be found on the CEN website.

The following referenced documents are indispensable for the application of this document. For undated references, the edition of the referenced document (including any amendments) listed below applies. For dated references, only the edition cited applies. However, for any use of this standard within the meaning of Annex ZA or ZB, the user should always check that any referenced document has not been superseded and that its relevant contents can still be considered the generally acknowledged state-of-art.

When an IEC or ISO standard is referred to in the ISO standard text, this should be understood as a normative reference to the corresponding EN standard, if available, and otherwise to the dated version of the ISO or IEC standard as listed below.

According to the CEN-CENELEC Internal Regulations, the national standards organizations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Türkiye and the United Kingdom.

Endorsement notice

The text of ISO 11137-2:2013/Amd 1:2022 has been approved by CEN as EN ISO 11137-2:2015/A1:2023 without any modification.

EN ISO 11137-2:2015/A1:2023 (E)**Annex ZA**
(informative)**Relationship between this European Standard and the General Safety and Performance Requirements of Regulation (EU) 2017/745 aimed to be covered**

This European standard has been prepared under M/575 to provide one voluntary means of conforming to the General Safety and Performance Requirements of Regulation (EU) 2017/745 of 5 April 2017 concerning medical devices [O] L 117] and to system or process requirements including those relating to quality management systems, risk management, post-market surveillance systems, clinical investigations, clinical evaluation or post-market clinical follow-up.

Once this standard is cited in the Official Journal of the European Union under that Regulation, compliance with the normative clauses of this standard given in Table ZA.1 and application of the edition of the normatively referenced standards as given in Table ZA.2 confers, within the limits of the scope of this standard, a presumption of conformity with the corresponding General Safety and Performance Requirements of that Regulation, and associated EFTA Regulations.

Where a definition in this standard differs from a definition of the same term set out in Regulation (EU) 2017/745, the differences shall be indicated in this Annex Z. For the purpose of using this standard in support of the requirements set out in Regulation (EU) 2017/745, the definitions set out in this Regulation prevail.

Where the European standard is an adoption of an International Standard, the scope of this standard can differ from the scope of the European Regulation that it supports. As the scope of the applicable regulatory requirements differ from nation to nation and region to region, the standard can only support European regulatory requirements to the extent of the scope of the European regulation for medical devices (EU) 2017/745).

NOTE 1 Where a reference from a clause of this standard to the risk management process is made, the risk management process needs to be in compliance with Regulation (EU) 2017/745. This means that risks have to be 'reduced as far as possible', 'reduced to the lowest possible level', 'reduced as far as possible and appropriate', 'removed or reduced as far as possible', 'eliminated or reduced as far as possible', 'removed or minimized as far as possible', or 'minimized', according to the wording of the corresponding General Safety and Performance Requirement.

NOTE 2 The manufacturer's policy for determining **acceptable risk** must be in compliance with General Safety and Performance Requirements 1, 2, 3, 4, 5, 8, 9, 10, 11, 14, 16, 17, 18, 19, 20, 21 and 22 of the Regulation.

NOTE 3 When a General Safety and Performance Requirement does not appear in Table ZA.1, it means that it is not addressed by this European Standard.

Table ZA.1 – Correspondence between this European standard and Annex I of Regulation (EU) 2017/745 [OJ L 117]

General Safety and Performance Requirements of Regulation (EU) 2017/745	Clause(s) / sub-clause(s) of this EN	Remarks / Notes
11.4 first sentence only	4,5,6,7,8,9,10	<p>This standard provides requirements for the establishment of the sterilization dose in the development, validation and routine control of a sterilization process using ionising radiation for medical devices. This General Safety and Performance Requirement is addressed only with regard to devices for which sterilization by ionising radiation is appropriate and only in conjunction with EN ISO 11137-1.</p> <p>This relevant General Safety and Performance Requirement is only partly addressed in this European Standard. Design and packaging for maintenance of sterility during transportation and storage are not covered. Aspects of manufacture other than those related to attainment of sterility by ionising radiation are not covered. Evidence that the integrity of the packaging is maintained to the point of use is not covered.</p>
11.5	4,5,6,7,8,9,10	<p>This standard provides requirements for the establishment of the sterilization dose in the development, validation and routine control of a sterilization process ionising radiation for medical devices. This General Safety and Performance Requirement is addressed only with regard to devices for which sterilization by ionising radiation is appropriate and only in conjunction with EN ISO 11137-1.</p> <p>This relevant General Safety and Performance Requirement is only partly addressed in this European Standard. Packaging for maintenance of sterility is not covered. Aspects of manufacture other than those related to attainment of sterility by ionising radiation are not covered.</p>

EN ISO 11137-2:2015/A1:2023 (E)

Table ZA.2 — Applicable Standards to confer presumption of conformity as described in this Annex ZA

Reference in Clause 2	International Standard Edition	Title	Corresponding European Standard Edition
ISO 11137-1:2006/Amd1:2013	ISO 11137-1:2006/Amd1:2013	Sterilization of health care products — Radiation — Part 1: Requirements for development, validation and routine control of a sterilization process for medical devices	EN ISO 11137-1:2006+A1:2013
ISO 11137-1:2006/Amd2:2018	ISO 11137-1:2006/Amd2:2018	Sterilization of health care products — Radiation — Part 1: Requirements for development, validation and routine control of a sterilization process for medical devices	EN ISO 11137-1:2015+A2:2019
ISO 11737-1	ISO 11737-1:2018	Sterilization of health care products — Microbiological methods — Part 1: Determination of a population of microorganisms on products	EN ISO 11737-1:2018+A1:2021
ISO 11737-2	ISO 11737-2:2019	Sterilization of health care products — Microbiological methods — Part 2: Tests of sterility performed in the definition, validation and maintenance of a sterilization process	EN ISO 11737-2:2020
ISO 13004	ISO 13004:2022	Sterilization of health care products — Radiation — Substantiation of selected sterilization dose: Method VD _{maxSD}	Previous edition published is CEN ISO/TS 13004:2014

The documents listed in the Column 1 of table ZA.2, in whole or in part, are normatively referenced in this document and are indispensable for its application. The achievement of the presumption of conformity is subject to the application of the edition of Standards as listed in Column 4 or, if no European Standard Edition exists, the International Standard Edition given in Column 2 of table ZA.2.

WARNING 1 — Presumption of conformity stays valid only as long as a reference to this European Standard is maintained in the list published in the Official Journal of the European Union. Users of this standard should consult frequently the latest list published in the Official Journal of the European Union.

WARNING 2 — Other Union legislation may be applicable to the product(s) falling within the scope of this standard.

Annex ZB (informative)

Relationship between this European Standard and the General Safety and Performance Requirements of Regulation (EU) 2017/746 aimed to be covered

This European standard has been prepared under M/575 to provide one voluntary means of conforming to the General Safety and Performance Requirements of Regulation (EU) 2017/746 of 5 April 2017 concerning *in vitro* diagnostic medical devices [OJ L 117] and to system or process requirements including those relating to quality management systems, risk management, post-market surveillance systems, performance studies, clinical evidence or post-market performance follow-up.

Once this standard is cited in the Official Journal of the European Union under that Regulation, compliance with the normative clauses of this standard given in Table ZB.1 confers, within the limits of the scope of this standard, a presumption of conformity with the corresponding General Safety and Performance Requirements of that Regulation, and associated EFTA Regulations.

Where a definition in this standard differs from a definition of the same term set out in Regulation (EU) 2017/746, the differences shall be indicated in the Annex Z. For the purpose of using this standard in support of the requirements set out in Regulation (EU) 2017/746, the definitions set out in this Regulation prevail.

Where the European standard is an adoption of an International Standard, the scope of this standard can differ from the scope of the European Regulation that it supports. As the scope of the applicable regulatory requirements differ from nation to nation and region to region, the standard can only support European regulatory requirements to the extent of the scope of the In vitro Diagnostic Regulation (EU) 2017/746).

NOTE 1 Where a reference from a clause of this standard to the risk management process is made, the risk management process needs to be in compliance with Regulation (EU) 2017/746. This means that risks have to be 'reduced as far as possible', 'reduced to a level as low as reasonably practicable', 'reduced to the lowest possible level', 'reduced as far as possible and appropriate', 'removed or reduced as far as possible', 'eliminated or reduced as far as possible', 'prevented' or 'minimized', according to the wording of the corresponding General Safety and Performance Requirement.

NOTE 2 The manufacturer's policy for determining **acceptable risk** must be in compliance with General Safety and Performance Requirements 1, 2, 3, 4, 5, 8, 10, 11, 13, 15, 16, 17, 18 and 19 of the Regulation.

NOTE 3 When a General Safety and Performance Requirement does not appear in Table ZB.1, it means that it is not addressed by this European Standard.

EN ISO 11137-2:2015/A1:2023 (E)

Table ZB.1 – Correspondence between this European standard and Annex I of Regulation (EU) 2017/746 [O] L 117]

General Safety and Performance Requirements of Regulation (EU) 2017/746	Clause(s) / sub-clause(s) of this EN	Remarks / Notes
11.3	4,5,6,7,8,9,10	<p>This standard provides requirements for the establishment of the sterilization dose in the development, validation and routine control of a sterilization process using ionising radiation for medical devices. This General Safety and Performance Requirement is addressed only with regard to devices for which sterilization by ionising radiation is appropriate and only in conjunction with EN ISO 11137-1.</p> <p>This relevant General Safety and Performance Requirement is only partly addressed in this European Standard. Design and packaging for maintenance of sterility during transportation and storage are not covered. Aspects of manufacture other than those related to attainment of sterility by ionising radiation are not covered. Evidence that the integrity of the packaging is maintained to the point of use is not covered.</p>

WARNING 1: Presumption of conformity stays valid only as long as a reference to this European standard is maintained in the list published in the Official Journal of the European Union. Users of this standard should consult frequently the latest list published in the Official Journal of the European Union.

WARNING 2: Other Union legislation may be applicable to the product(s) falling within the scope of this standard.

**INTERNATIONAL
STANDARD**

**ISO
11137-2**

Third edition
2013-06-01

AMENDMENT 1
2022-06

**Sterilization of health care products —
Radiation —**

**Part 2:
Establishing the sterilization dose**
AMENDMENT 1

*Stérilisation des produits de santé — Irradiation —
Partie 2: Établissement de la dose stérilisante
AMENDEMENT 1*



Reference number
ISO 11137-2:2013/Amd.1:2022(E)

ISO 11137-2:2013/Amd.1:2022(E)**COPYRIGHT PROTECTED DOCUMENT**

© ISO 2022

All rights reserved. Unless otherwise specified, or required in the context of its implementation, no part of this publication may be reproduced or utilized otherwise in any form or by any means, electronic or mechanical, including photocopying, or posting on the internet or an intranet, without prior written permission. Permission can be requested from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office
CP 401 • Ch. de Blandonnet 8
CH-1214 Vernier, Geneva
Phone: +41 22 749 01 11
Email: copyright@iso.org
Website: www.iso.org

Published in Switzerland

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT), see www.iso.org/iso/foreword.html.

This document was prepared by Technical Committee ISO/TC 198, *Sterilization of health care products*, in collaboration with the European Committee for Standardization (CEN) Technical Committee CEN/TC 204, *Sterilization of medical devices*, in accordance with the Agreement on technical cooperation between ISO and CEN (Vienna Agreement).

A list of all parts in the ISO 11137 series can be found on the ISO website.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html.

Sterilization of health care products — Radiation —

Part 2: Establishing the sterilization dose

AMENDMENT 1

Clause 2 Normative references

Add the following new normative references:

ISO 11137-1:2006/Amd1:2013, *Sterilization of health care products — Radiation — Part 1: Requirements for development, validation and routine control of a sterilization process for medical devices — Amendment 1*

ISO 11137-1:2006/Amd2:2018, *Sterilization of health care products — Radiation — Part 1: Requirements for development, validation and routine control of a sterilization process for medical devices — Amendment 2: Revision to 4.3.4 and 11.2*

ISO 13004: 20—¹⁾, *Sterilization of health care products — Radiation — Substantiation of selected sterilization dose: Method VD_{max}^{SD}*

koniec náhľadu – text ďalej pokračuje v platenej verzii STN

1) Under preparation. Stage at the time of publication: ISO/DIS 13004.