

# Diagnostické zdravotnícke pomôcky in vitro Informácie dodávané výrobcom (označovanie) Časť 5: Diagnostické prístroje in vitro na samotestovanie (ISO 18113-5: 2022)

STN EN ISO 18113-5

85 1001

In vitro diagnostic medical devices - Information supplied by the manufacturer (labelling) - Part 5: In vitro diagnostic instruments for self-testing (ISO 18113-5:2022)

Táto norma obsahuje anglickú verziu európskej normy. This standard includes the English version of the European Standard.

Táto norma bola oznámená vo Vestníku ÚNMS SR č. 08/24

Obsahuje: EN ISO 18113-5:2024, ISO 18113-5:2022

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#### 139023

# EUROPEAN STANDARD NORME EUROPÉENNE EUROPÄISCHE NORM

**EN ISO 18113-5** 

June 2024

ICS 11.100.10

Supersedes EN ISO 18113-5:2011

## **English Version**

# In vitro diagnostic medical devices - Information supplied by the manufacturer (labelling) - Part 5: In vitro diagnostic instruments for self-testing (ISO 18113-5:2022)

Dispositifs médicaux de diagnostic in vitro -Informations fournies par le fabricant (étiquetage) -Partie 5: Instruments de diagnostic in vitro destinés aux autodiagnostics (ISO 18113-5:2022) In-vitro-Diagnostika - Bereitstellung von
Informationen durch den Hersteller - Teil 5: Geräte für
in-vitro-diagnostische Untersuchungen zur
Eigenanwendung (ISO 18113-5:2022)

This European Standard was approved by CEN on 2 October 2022.

CEN members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration. Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CEN member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CEN member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

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EUROPEAN COMMITTEE FOR STANDARDIZATION COMITÉ EUROPÉEN DE NORMALISATION EUROPÄISCHES KOMITEE FÜR NORMUNG

CEN-CENELEC Management Centre: Rue de la Science 23, B-1040 Brussels

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# **European foreword**

This document (EN ISO 18113-5:2024) has been prepared by Technical Committee ISO/TC 212 "Medical laboratories and in vitro diagnostic systems" in collaboration with Technical Committee CEN/TC 140 "In vitro diagnostic medical devices" the secretariat of which is held by DIN.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by December 2024, and conflicting national standards shall be withdrawn at the latest by June 2027.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN shall not be held responsible for identifying any or all such patent rights.

This document supersedes EN ISO 18113-5:2011.

This document has been prepared under a standardization request addressed to CEN by the European Commission. The Standing Committee of the EFTA States subsequently approves these requests for its Member States.

For the relationship with EU Legislation, see informative Annex ZA, which is an integral part of this document.

Any feedback and questions on this document should be directed to the users' national standards body/national committee. A complete listing of these bodies can be found on the CEN website.

According to the CEN-CENELEC Internal Regulations, the national standards organizations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Türkiye and the United Kingdom.

### **Endorsement notice**

The text of ISO 18113-5:2022 has been approved by CEN as EN ISO 18113-5:2024 without any modification.

# Annex ZA

(informative)

# Relationship between this European Standard the General Safety and Performance Requirements of Regulation (EU) 2017/746 aimed to be covered

This European standard has been prepared under M/575 to provide one voluntary means of conforming to the General Safety and Performance Requirements of Regulation (EU) 2017/746 of 5 April 2017 concerning in vitro diagnostic medical devices [OJ L 117] and to system or process requirements including those relating to quality management systems, risk management, post-market surveillance systems, performance studies, clinical evidence or post-market performance follow-up

Once this standard is cited in the Official Journal of the European Union under that Regulation, compliance with the normative clauses of this standard given in Table ZA.1 and application of the edition of the normatively referenced standards as given in Table ZA.2 confers, within the limits of the scope of this standard, a presumption of conformity with the corresponding General Safety and Performance Requirements of that Regulation, and associated EFTA Regulations.

For the purpose of using this standard in support of the requirements set out in Regulation (EU) 2017/746, the definitions set out in this Regulation prevail.

This document needs to be considered together with the other parts of EN ISO 18113-series to fully apply the concepts of this labelling standard series. EN ISO 18113-1 provides definitions and overall concepts which may be further applied or directed to specific device format and labelling location.

Where the European standard is an adoption of an International Standard, the scope of this standard can differ from the scope of the European Regulation that it supports. As the scope of the applicable regulatory requirements differ from nation to nation and region to region, the standard can only support European regulatory requirements to the extent of the scope of the In vitro Diagnostic Regulation (EU) 2017/746).

Where the standard includes notes that require alignment to local or regional regulations, all clauses need to be read in the context of Regulation (EU) 2017/746.

NOTE 1 Where a reference from a clause of this standard to the risk management process is made, the risk management process needs to be in compliance with Regulation (EU) 2017/746. This means that risks have to be 'reduced as far as possible', 'reduced to a level as low as reasonably practicable', 'reduced to the lowest possible level', 'reduced as far as possible and appropriate', 'removed or reduced as far as possible', 'prevented' or 'minimized', according to the wording of the corresponding General Safety and Performance Requirement.

NOTE 2 The manufacturer's policy for determining **acceptable risk** must be in compliance with General Safety and Performance Requirements 1, 2, 3, 4, 5, 8, 10, 11, 13, 15, 16, 17, 18 and 19 of the Regulation.

NOTE 3 When a General Safety and Performance Requirement does not appear in Table ZA.1, it means that it is not addressed by this European Standard.

Table ZA.1 — Correspondence between this European Standard and Annex I of Regulation (EU) 2017/746 [OJ L 117] and to system or process requirements including those relating to quality management systems, risk management, post-market surveillance systems, clinical investigations, clinical evaluation or post-market clinical follow-up

| General Safety and<br>Performance<br>Requirements of<br>Regulation (EU)<br>2017/746 | Clause(s) / sub-<br>clause(s)<br>of this EN | Remarks / Notes  |
|---|---|--|
| 7   | 7.4   | Covered with respect to the information provided regarding storage and handling                          |
| 19.1  | 7.11, 7.13                                  | Covered with respect to labelling requirements   |
| 19.3  | 7.14, 7.18                                  | Covered with respect to verification of the intended performance and warnings related to invalid results |
| 20.1 c)   | 5.2.4                                       | Covered  |
| 20.2 a)   | 5.2.1                                       | Covered  |
| 20.2 e)   | 5.2.3                                       | Covered with respect to the indication of in-vitro diagnostic medical device                             |
| 20.2 f)   | 5.2.2                                       | Covered with respect to serial number and batch code   |
| 20.2 g)   | 5.2.4                                       | Covered  |
| 20.4.1 b)   | 7.2.  | Covered  |
| 20.4.1 c)   | 7.3   | Covered  |
| 20.4.1 e)   | 7.3   | Covered  |
| 20.4.1 f)   | 7.7   | Covered  |
| 20.4.1. j) 1 <sup>st</sup> bullet   | 7.6.1                                       | Covered with respect to instruction for installation and connectivity information                        |
| 20.4.1. k)  | 7.4   | Covered  |
| 20.4.1 n) i)  | 7.5   | Covered with respect to information on residual risks and safety   |
| 20.4.1 n) ii)   | 7.5   | Covered with respect to information on residual risks and safety   |
| 20.4.1 n) iii)  | 7.5   | Covered with respect to information on residual risks and safety   |
| 20.4.1 r)   | 7.6.3, 7.10                                 | Covered with respect to the set-up before use  |
| 20.4.1 s)   | 7.6, 7.14, 7.17                             | Covered with respect to installation and maintenance   |
| 20.4.1 t)   | 7.12  | Covered  |
| 20.4.1 ab)  | 7.9   | Covered with respect to limitation of use  |

| General Safety and<br>Performance<br>Requirements of<br>Regulation (EU)<br>2017/746 | Clause(s) / sub-<br>clause(s)<br>of this EN | Remarks / Notes   |
|---|---|---|
| 20.4.1 ac) 1st sentence   | 7.16  | Covered   |
| 20.4.1 ad)  | 7.1   | Covered with respect to the information on the Authorized Representative          |
| 20.4.2 a)   | 7.10, 7.11, 7.14                            | Covered   |
| 20.4.2 b)   | 7.6   | Covered with respect to the content of the instructions for use                   |
| 20.4.2 c)   | 7.3, 7.13                                   | Covered with respect to the information for correct interpretation of the results |
| 20.4.2 d)   | 7.13  | Covered with respect to the read out of test results                              |

Table ZA.2 — Applicable Standards to confer presumption of conformity as described in this Annex ZA

| Column 1<br>Reference in<br>Clause 2 | Column 2 International<br>Standard Edition   | Column 3<br>Title   | Column 4<br>Corresponding European<br>Standard Edition                            |
|--------------------------------------|--|---|---|
| ISO 14971                            | ISO 14971:2019   | Medical devices — Application of risk management to medical devices   | EN ISO 14971:2019<br>EN ISO<br>14971:2019/A11:2021                                |
| ISO 15223-1                          | ISO 15223-1:2021   | Medical devices — Symbols to be used with information to be supplied by the manufacturer — Part 1: General requirements                           | EN ISO 15223-1:2021   |
| ISO 18113-1                          | ISO 18113-1:2022   | In vitro diagnostic medical devices — Information supplied by the manufacturer (labelling) — Part 1: Terms, definitions, and general requirements | EN ISO 18113-1:2024   |
| IEC 61010-1                          | IEC 61010-1:2010<br>IEC 61010-1:2010/A1:2016<br>IEC 61010-1:2010/A1:2016<br>/COR1:2019 | Safety requirements for electrical equipment for measurement, control and laboratory use — Part 1: General requirements                           | EN 61010-1:2010<br>EN 61010-1:2010/A1:2019<br>EN 61010-1:2010/A1:2019/<br>AC:2019 |
| IEC 61010-2-<br>101                  | IEC 61010-2-101:2018   | Safety requirements for electrical equipment for measurement, control and laboratory use — Part 2-101: Particular requirements for                | EN IEC 61010-2-101:2022<br>EN IEC 61010-2-<br>101:2022/A11:2022                   |

|               |  | in vitro diagnostic (IVD)<br>medical equipment  |   |
|---------------|--|---|---|
| IEC 61326-2-6 | IEC 61326-2-6:2020   | Electrical equipment for measurement, control and laboratory use — EMC requirements — Part 2-6: Particular requirements — In vitro diagnostic (IVD) medical equipment | EN 61326-2-6:2021   |
| IEC 62366-1   | IEC 62366-1:2015<br>IEC 62366-1:2015/Cor<br>1:2016<br>IEC 62366-1:2015/A1:2020 | Medical devices — Application of usability engineering to medical devices   | EN 62366-1:2015<br>EN 62366-1:2015/AC:2015<br>EN 62366-1:2015/A1:2020 |

The documents listed in the Column 1 of Table ZA.2, in whole or in part, are normatively referenced in this document and are indispensable for its application. The achievement of the presumption of conformity is subject to the application of the edition of Standards as listed in Column 4 or, if no European Standard Edition exists, the International Standard Edition given in Column 2 of Table ZA.2.

**WARNING 1** — Presumption of conformity stays valid only as long as a reference to this European standard is maintained in the list published in the Official Journal of the European Union. Users of this standard should consult frequently the latest list published in the Official Journal of the European Union

**WARNING 2** — Other Union legislation may be applicable to the product(s) falling within the scope of this standard.

# INTERNATIONAL STANDARD

ISO 18113-5

Second edition 2022-10

# In vitro diagnostic medical devices — Information supplied by the manufacturer (labelling) —

Part 5:

# In vitro diagnostic instruments for self-testing

Dispositifs médicaux de diagnostic in vitro — Informations fournies par le fabricant (étiquetage) —

Partie 5: Instruments de diagnostic in vitro destinés aux autodiagnostics





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## **Foreword**

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see <a href="https://www.iso.org/directives">www.iso.org/directives</a>).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see <a href="https://www.iso.org/patents">www.iso.org/patents</a>).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT), see <a href="https://www.iso.org/iso/foreword.html">www.iso.org/iso/foreword.html</a>.

This document was prepared by Technical Committee ISO/TC 212, *Clinical laboratory testing and in vitro diagnostic test systems*, in collaboration with the European Committee for Standardization (CEN) Technical Committee CEN/TC 140, *In vitro diagnostic medical devices*, in accordance with the Agreement on technical cooperation between ISO and CEN (Vienna Agreement).

This second edition cancels and replaces the first edition (ISO 18113-5:2009), which has been technically revised.

The main changes are as follows:

- Updated text to reflect changes in regulations and provide examples for clarity;
- Added information pertaining to unique device identifier-device identifier (UDI);
- Updated the Bibliography.

A list of all parts in the ISO 18113 series can be found on the ISO website.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at <a href="https://www.iso.org/members.html">www.iso.org/members.html</a>.

# Introduction

Manufacturers of in vitro diagnostic (IVD) instruments for self-testing, supply users with information to enable the safe use and expected performance of their devices. Adequate instructions for use are essential for the safe and proper operation of IVD instruments. The type and level of detail varies according to the intended uses and country-specific regulations.

The International Medical Device Regulators Forum (IMDRF) encourages convergence of the evolution of regulatory systems for medical devices at the global level. Eliminating differences among regulatory jurisdictions can allow patients earlier access to new technologies and treatments. This document provides a basis for harmonization of labelling requirements for IVD instruments for self-testing.

This document is concerned solely with information supplied with IVD instruments and equipment intended for self-testing. It is intended to be used in conjunction with ISO 18113-1, which contains the general requirements for information supplied by the manufacturer and definitions of general labelling concepts. This document is intended to support the essential labelling requirements of all the IMDRF [6] partners, as well as other countries that have enacted or plan to enact labelling regulations for IVD medical devices.

For IVD instruments that are intended to be used as a system with reagents provided by the same manufacturer, this document is also intended to be used together with ISO 18113-1 and ISO 18113-4.

# In vitro diagnostic medical devices — Information supplied by the manufacturer (labelling) —

# Part 5:

# In vitro diagnostic instruments for self-testing

# 1 Scope

This document specifies requirements for information supplied by the manufacturer of in vitro diagnostic (IVD) instruments intended for self-testing.

This document is also applicable to apparatus and equipment intended to be used with IVD instruments for self-testing.

This document can also be applicable to accessories.

This document does not apply to:

- a) instructions for instrument servicing or repair;
- b) IVD reagents, including calibrators and control materials for use in control of the reagent;
- c) IVD instruments for professional use.

## 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 14971, Medical devices — Application of risk management to medical devices

ISO 15223-1, Medical devices — Symbols to be used with information to be supplied by the manufacturer — Part 1: General requirements

ISO 18113-1, In vitro diagnostic medical devices — Information supplied by the manufacturer (labelling) — Part 1: Terms, definitions and general requirements

IEC 61010-1, Safety requirements for electrical equipment for measurement, control and laboratory use — Part 1: General requirements

IEC 61010-2-101, Safety requirements for electrical equipment for measurement, control and laboratory use — Part 2-101: Particular requirements for in vitro diagnostic (IVD) medical equipment

IEC 61326-2-6, Electrical equipment for measurement, control and laboratory use — EMC requirements — Part 2-6: Particular requirements — In vitro diagnostic (IVD) medical equipment

IEC 62366-1, Medical devices — Part 1: Application of usability engineering to medical devices

# koniec náhľadu – text ďalej pokračuje v platenej verzii STN